

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
0WHH-104381

In Re Application Of: **John W. Matthews**

Serial No.	Filing Date	Examiner	Group Art Unit

Title: **FLASHLIGHTS AND OTHER BATTERY-POWERED APPARATUS FOR HOLDING AND ENERGIZING  
TRANSDUCERS**

Address to:

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

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**FLASHLIGHTS AND OTHER BATTERY-POWERED APPARATUS FOR HOLDING AND  
TRANSDUCERS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Director is hereby authorized to charge and credit Deposit Account 19-1853 as described below.
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Dated: September 9, 2003

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

John W. Matthews

For Reissue of

U.S. Patent No. 5,629,105

Assignee: SureFire, LLC

Filed: Herewith

For: FLASHLIGHTS AND OTHER  
BATTERY-POWERED  
APPARATUS FOR HOLDING AND  
ENERGIZING TRANSDUCERS

} Examiner: Unassigned

} Group Art Unit: Unassigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Mail Stop Reissue  
Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97-1.98, Applicant submits the patents identified on the accompanying form PTO-A820 for consideration by the Patent and Trademark Office during examination of the above-identified application for reissue of U.S. Patent No. 5,629,105 ("the '105 Patent"). The filing of this information disclosure statement should not be construed to be an admission that the cited references are, or are considered to be, material to patentability under 37 C.F.R. § 1.56(b).

U.S. Patent No. 3,111,277 to Grimsley was recently cited in a pending application owned by Applicant, serial No. 10/299,326. Grimsley discloses a portable electric flashlight having a light bulb 15 mounted within a socket 16, and a protective cartridge 17 enclosing the light bulb, its socket and a transparent bull's-eye lens 18.

Applicant does not believe that Grimsley or the aforementioned pending application are material to the present reissue application, and is disclosing them out of an abundance of caution. It is believed to have been well known prior to the earliest priority date of the application for the '105 Patent, November 24, 1992, to use a lens in front of an electric lamp, or to use electric lamps of various types having lensed enclosures in a variety of applications. This includes a light bulb or lamp 13 as shown in the '105 Patent, as well as encapsulated light emitting diode (LED) electric lamps in which the encapsulation serves a lens function.

U.S. Patent No. 4,783,725 to Schaller et al. discloses, in FIGS. 8 and 9, a flashlight having a housing 40 with a first portion 42 for holding batteries and a second portion 44 axially spaced with respect to portion 42 for holding a reflector 46. The second housing portion 44 has a first transparent wall 48, and the flashlight has a first light bulb 50 positioned to generate a collimated light beam through sidewall 46. A second light bulb 52 is placed adjacent to the reflector wall, and Schaller et al. states that "light from bulb 52 is reflected in a wide beam" through a second transparent wall 54 in second housing portion 44. Schaller et al. further states that "the light source for the flashlight is a bulb with an incandescent filament. However, other light sources may be used. For example the light source may compound a light emitted diode (LED)." (Col. 4, lines 9-13.) As shown in the drawing figures and described in Schaller et al., "[t]he beams generated by the two bulbs are oriented along two axes which are generally perpendicular to each other." (Col. 3, lines 31-33.) The flashlight may include switching means for activating the bulbs simultaneously or alternately.

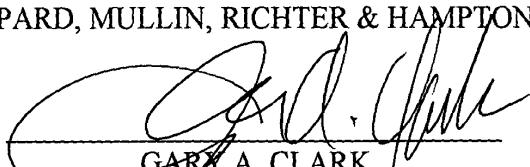
U.S. Patent No. 1,423,911 to Cardwell discloses in Figure 1, a headlight having a cylindrical container 1 with an open end 2 to receive a bowl-shaped portion of a headlight 3 or reflector. The bowl-shaped portion of the headlight has a centrally disposed bulb 4 and a second electric bulb 5 positioned near the upper end of the reflector, wherein the second bulb 5 is of lower candle power than bulb 4. The headlight also has a switch 20 for independently activating lamps 4 and 5.

U.S. Patent No. 5,359,779 to Polk et al. discloses, in FIGS. 1 and 2, an illumination and laser sighting device having a housing 16 with a single compartment containing a power supply 20 and a receptacle 22 coupled to one end of the housing 16. At least one laser 30 is fixed to a support member 28 which is adjustably coupled to the receptacle 22, and an illumination lamp assembly 24 is coupled to the receptacle 22 in an offset position over the laser 30. The illumination lamp assembly 24 includes a lamp bulb 44, a reflector housing 38, a lens 46 adapted for being coupled over one end of the reflector housing 38, and a reflector 42 secured between the lens 46 and reflector housing 38. The reflector 42 has an aperture 54 through a side wall, and is aligned over laser 30 to allow passage of emitted wavelengths of light from the laser 30 through reflector 42 and lens 46. The illumination and laser sighting device also has a switch 56 for selectively activating lamp bulb 44 and laser 30.

Applicant respectfully requests that the identified documents be considered in the examination of this reissue application, and that their consideration be made of written record in the reissue application file.

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP

Dated: SEPT. 9, 2003



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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>0WHH-104381</b>		Application Number	
				Applicant(s) <b>John W. Matthews</b>			
				Filing Date		Group Art Unit	
				<b>U.S. PATENT DOCUMENTS</b>			
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		1,423,911	7/25/1922	Cardwell			
		3,111,277	11/19/1963	Grimsley			
		4,783,725	11/8/1988	Schaller et al.	362	157	
		5,359,779	11/1/1994	Polk et al.	33	241	
<b>FOREIGN PATENT DOCUMENTS</b>							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
EXAMINER				DATE CONSIDERED			
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							